

INVESTMENT FORUM AGENDA
WEDNESDAY, OCTOBER 14, 2026
TALLWOOD TA-1, and Zoom, 11:50AM
Meeting ID: 819 4096 5041
Passcode: 012 779

The Zoom meeting link and ID will be in the Daily Schedule, which will be forwarded by OLLI on Wednesday morning. Regardless whether you registered for in-person or Zoom, you are welcome to join us in-person in Tallwood, TA-1.

Markets.

An up week, driven by good consumer spending and economic growth numbers. Headwinds of energy prices, the Iran war, and falling bond prices continue. Third quarter earnings, most from the financial sector, will start coming out this week.

	<u>1 week change</u>	<u>YTD change.</u>	<u>12 month change</u>
DJIA	+1.2%	+6.8%	+13.6%
S&P 500	+1.0%	+13.9%	+19.2%
NASDAQ	-0.2%	+17.8%	+23.3%
Russell 2000	-0.8%	+12.1%	+17.3%
Bitcoin	-1.9%	-5.5%	-24.3%
Gold.	-1.1%	-3.4%.	+4.9%
Treasury bonds (TLT)	+1.1%	-10.4%	-14.0%

Topics This Week.

The Week in Review. David Toms will look at recent economic numbers and market moves.

Buys, Sells, and Thinking Abouts. Bob Baker will look at your trades and thinking about for the past week.

Bonds. In response to the request for feedback of your interest in bond discussions, all who replied desired more discussions of bonds. I would summarize your replies as, "I don't know much about bonds, but want to

learn more.” We will attempt to do that, spread out over several weeks in 15 minute segments. Lou Coglianese will follow Jonathan Fiechter’s presentation last week of how bonds can fit into your portfolio, with a discussion that will touch on bond prices changing with interest rate changes; changes in economic conditions and default risk. In following weeks, we will look at:

- Bond funds (mutual funds, ETFs, closed end funds) and individual bonds.
- Bond ladders.
- TIPS (Treasury Inflation Protected Securities.)
- The yield curve and duration.
- Bond ratings and default risk.
- Shopping for bonds.

Other

Member presentations. We are still looking for members willing to step forward and talk about “my investor journey,” or your investment allocations and goals. Please reach out to me or Ray to further discuss and set up a presentation date. We very much want to hear your stories and what you are doing as investors, which we use to drive Forum topics and class content.

Investment Forum Website. The Investment Forum website may be accessed at <https://olli.gmu.edu/>, then pressing the “Clubs & Activities” link in the center of the OLLI home page, followed by the “Inve\$tment Forum” link on the dropdown list. Please contact Jim Coile, the Webmaster with any presentation contributions, article suggestion, or improvements by email, ctj444@icloud.com The website has current and past agendas and buy/sell lists and presentations.

Al Smuzynski
Investment Forum Moderator
asmuzynski@verizon.net

Buy, Sell, Thinking About: w/e 10/09/26 (Revised)

BUY		BUY	
	YieldMax Semi Portfolio Option Income ETF (CHPY) [+]		Bank of Nova Scotia Bond, 02/01/30 mat, 4.9497% YTM
	NEOS MLP & Energy Infrastructure Hi Inc ETF (MLPI) [+]		Marathon Petroleum Corp Bond, 03/01/30 mat, 4.9244%
	Schwab U.S. Large-Cap Growth ETF (SCHG) [+]		
	Marvell Technology (MRVL) [N]		
	Lam Research Corp. (LRCX) [N]		
	Crowdstrike Holdings Inc. (CRWD) [N]		
	Dell Technologies Inc. (DELL) [N]		
	Grayscale Bitcoin Trust (GBTC) [N]		
	ARK Active Autocallable Income ETF (ARKY) [N]		
	5-year U.S. Treasury Note ladder 4.8% average yield		
	Commonwealth Bank of Australia ADR (CMWAY) [+]		
	SCHNEIDER ELEC ADR (SBGSY) [+]		
	SLB Ltd. (SLB) [N]		
	Honda (HMC) Bond, 03/05/30 mat, 4.9640% YTM		

Buy, Sell, Thinking About: w/e 10/09/26

SELL		SELL	
	American Electric Power Company Inc. (AEP) [C]		1000 Bu Corn
FA	NVIDIA Corp. (NVDA) [-] [X2]		
	ExxonMobil Holdings Corporation (XOM) [-]		
	Vanguard Morningstar Mega Cap Growth ETF (MGK) [C]	THIKING ABOUT	
	Vanguard U.S. Momentum Factor ETF (VFMO) [-]		iShares MSCI World ETF (URTH)
	Vanguard Total Intl Stock Index Fund ETF (VXUS) [-]		iShares Core Dividend ETF (DIVB)
	iShares Core Dividend Growth ETF (DGRO) [C]		
	Vanguard Core Bond ETF (VCRB) [C]		
FA	Marubeni ADR (MARUY) [C]		
FA	Sanofi ADR (SNY) [C]		
FA	Meta Platforms Inc. (META) [-]		
FA	Alphabet, Inc. (GOOGL) [-]		
FA	Apple Inc. (AAPL) [-]		
	Cerebras Systems Inc. (CBRS) [C]		

[FA] Financial Advisor Trade

[N] New

[+] Add

[X2] Two Buys

[-] Trim

[C] Close

Buy, Sell, Thinking About: w/e 10/09/26

▼ Watchlist		B2026 w/e 10/09		Symbol, Descrip...							
Symbol	Description	Sector	Market Cap	Beta	Ex Div.Date	Yield	Last	%Change	%Chg<52wkHi		
CHPY	YieldMax Semiconductor Port Opt Inc ETF		1,328 M	2.51	10/7/26	44.77%	67.92	0.00%	-24.42		
MLPI	NEOS MLP Energy Infrastructure High Income ETF		922 M	0.41	9/16/26	14.46%	52.08	0.00%	NaN		
SCHG	Schwab US Large-Cap Growth ETF		65,575 M	1.22	9/23/26	0.40%	36.74	0.00%	-0.97		
MRVL	MARVELL TECHNOLOGY I	Information Technology	247,395 M	3.16	10/9/26	0.09%	275.28	0.00%	-16.55		
LRCX	LAM RESH CORP	Information Technology	399,004 M	1.87	9/23/26	0.41%	318.84	0.00%	-27.29		
CRWD	CROWDSTRIKE HLDGS IN Class A	Information Technology	281,623 M	-0.54	N/A	N/A	275.04	0.00%	-4.16		
DELL	DELL TECHNOLOGIES IN Class C	Information Technology	372,624 M	3.2	10/20/26	0.43%	586.06	0.00%	-1.59		
GBTC	Grayscale Bitcoin Trust ETF		12,325 M	1.99	N/A	N/A	63.54	0.00%	-30.18		
ARKY	ARK ACTIVE AUTOCALLABLE INCOME ETF		49 M	0.17	9/24/26	15.88%	20.41	0.00%	-55.39		
CMWAY	COMMONWEALTH BK AUST ADR	Financials	175,074 M	1.81	8/24/26	3.60%	104.72	+1.25%	-23.48		
SBGSY	SCHNEIDER ELEC SA ADR	Industrials	168,145 M	1.11	5/12/26	1.65%	59.70	+2.21%	-17.06		
SLB	SLB Limited	Energy	72,642 M	1.19	9/2/26	2.41%	48.95	0.00%	-19.04		

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Symbol	Description	Sector	Market Cap	Beta	Ex Div.Date	Yield	Last	%Change	%Chg<52wkHi
AEP	AMERICAN ELEC PWR CO	Utilities	66,857 M	0.55	8/10/26	3.09%	122.81	0.00%	-12.64
NVDA	NVIDIA CORP	Information Technology	5,536,424 M	1.33	9/10/26	0.44%	229.28	0.00%	-5.79
XOM	EXXONMOBIL HLDGS COR	Energy	694,666 M	-0.93	8/17/26	2.44%	168.94	0.00%	-4.23
MGK	Vanguard Morningstar Mega Cap Growth ETF		35,527 M	1.32	9/28/26	0.32%	94.42	0.00%	-1.16
VFMO	Vanguard US Momentum Factor ETF		1,932 M	1.04	9/23/26	0.53%	230.11	0.00%	-8.03
VXUS	Vanguard Total International Stock ETF		163,209 M	1.28	9/18/26	0.74%	84.82	0.00%	-4.29
DGRO	iShares Core Dividend Growth ETF		43,085 M	0.58	9/15/26	1.99%	77.29	0.00%	-4.08
VCRB	Vanguard Core Bond ETF		7,584 M	0.24	10/1/26	4.76%	73.675	0.00%	-6.95
MARUY	MARUBENI CORP ADR	Industrials	48,296 M	1.59	N/A	N/A	29.78	-0.53%	-30.1
SNY	SANOFI ADR	Health Care	96,013 M	0.13	5/4/26	6.04%	40.07	0.00%	-23.94
META	META PLATFORMS INC A	Communication Servic...	1,830,816 M	2.21	9/21/26	0.29%	718.67	0.00%	-7.84
GOOGL	ALPHABET INC A	Communication Servic...	4,300,779 M	1.17	9/4/26	0.25%	351.66	0.00%	-13.94
AAPL	APPLE INC	Information Technology	4,912,985 M	0.65	8/10/26	0.32%	336.64	0.00%	-2.52
CBRS	CEREBRAS SYS INC Class A	Information Technology	39,001 M	3.08	N/A	N/A	164.17	0.00%	NaN

Buy, Sell, Thinking About: w/e 10/09/26

▼ Watchlist		TA2026 w/e 10/09	3 Symbol, Descrip...							★	☰
Symbol	Description	Sector ▲	Market Cap	Beta	Ex Div.Date	Yield	Last	%Change	%Chg<52wkHi		
URTH	24 iShares MSCI World...		8,303 M	1.03	6/15/26	1.29%	209.14	0.00%	-1.32		
DIVB	24 iShares Core Dividen...		2,025 M	0.28	9/15/26	2.56%	66.17	0.00%	-5.23		

Fixed Income Buys:

- 5-year U.S. Treasury Note Laadder , 4.8% avg yield
- Honda (HMC) Bond, 03/05/30 mat, 4.9640% YTM
- Bank of Nova Scotia Bond, 02/01/30 mat, 4.9497% YTM
- Marathon Petroleum Corp Bond, 03/01/30 mat, 4.9244%

Commodity Sale:

- 1000 bushels corn