

# Compendium of Buy, Sell, & Thinking About Lists

for weeks ending  
10/31/2025 through 7/3/2026

Lou Coglianesi

7/8/2026

OLLI-GMU Investment Forum

| Buy, Sell, & Thinking About for WE 07/03/2026                                                      |  | OLLI-GMU Investment Forum, 07/08/2026                       |  |
|----------------------------------------------------------------------------------------------------|--|-------------------------------------------------------------|--|
| Buy                                                                                                |  | Sell                                                        |  |
| Citigroup Inc. (C)                                                                                 |  | 1,000 bu Corn (Corn Futures)                                |  |
| iShares Expanded Tech-Software Sector ETF (IGV) [+]                                                |  | Freedom 100 Emerging Markets ETF (FRDM) [-]                 |  |
| A.P. Møller - Mærsk A/S (AMKBY) [+]                                                                |  | Eli Lilly and Company (LLY) [-]                             |  |
| Banc of California, Inc. (BANC) [+]                                                                |  |                                                             |  |
| UBS Group AG (UBS) [+]                                                                             |  |                                                             |  |
| Vistra Corp. (VST) [+]                                                                             |  |                                                             |  |
| Bond: Energy Transfer LP 4.5513% 07/01/2029                                                        |  |                                                             |  |
| Bond: Las Vegas Sands Corp. 4.9886% 08/08/2029                                                     |  |                                                             |  |
| Bond: Oracle Corporation 5.1250% 11/09/2029                                                        |  | Janus Henderson Detroit Street Trust AAA CLO ETF (JAAA) [-] |  |
| Bond: 5-Year 4.375% U.S. Treasury Note [FA]                                                        |  | PGIM AAA CLO ETF (PAAA) [-]                                 |  |
|                                                                                                    |  | Thinking About                                              |  |
|                                                                                                    |  | Chipotle Mexican Grill (CMG)                                |  |
|                                                                                                    |  | Baron Emerging Markets (BEXFX)                              |  |
|                                                                                                    |  | Fidelity international Growth (FIGFX)                       |  |
|                                                                                                    |  | NEOS MLP & Energy Infrastructure High Income ETF (MLPI)     |  |
|                                                                                                    |  | Roche (RHBBY)[+]                                            |  |
| Legend:  [+] Add   [-] Trim   [C] Close   [N] New   [FA] Financial Advisor   [#] number of members |  |                                                             |  |

| Buy, Sell, & Thinking About for WE 06/26/2026                                                                            |  | OLLI-GMU Investment Forum, 07/01/2026                    |  |
|--------------------------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------|--|
| Buy                                                                                                                      |  | Sell                                                     |  |
| CVS Health Corporation (CVS) [+]                                                                                         |  |                                                          |  |
| Vertiv Holdings Co (VRT) [N]                                                                                             |  |                                                          |  |
| NVIDIA Corporation (NVDA) [N]                                                                                            |  | Vanguard Total Bond Market ETF (BND) [C]                 |  |
| Petróleo Brasileiro S.A. - Petrobras (PBR) [N]                                                                           |  |                                                          |  |
| PGIM AAA CLO ETF (PAAA) [N]                                                                                              |  |                                                          |  |
| T. Rowe Price Intermediate Municipal Income ETF (TAXE) [+]                                                               |  |                                                          |  |
| Vanguard Tax-Exempt Bond ETF (VTEB) [+]                                                                                  |  |                                                          |  |
| Consumer Staples Select Sector SPDR Fund (XLP) [N]                                                                       |  | Invesco QQQ Trust (QQQ) [C]                              |  |
|                                                                                                                          |  | Invesco NASDAQ 100 ETF (QQQM) [C]                        |  |
| Krystal Biotech (KRYX) [N]                                                                                               |  | Vanguard Intermediate Corp Bond (VCIT) [-]               |  |
| Goldman Sachs Nasdaq-100 Core Income ETF (GPIQ) [+]                                                                      |  | Altria Group Inc. (MO) [C]                               |  |
| VICI Properties Inc. (VICI) [+]                                                                                          |  | Simon Property Group Inc. (SPG) [-]                      |  |
| Hercules Capital Inc. (HTGC) [+]                                                                                         |  | AbbVie Inc. (ABBV) [-]                                   |  |
| 4-year C.D. 4.3% [FA]                                                                                                    |  | Cognizant Technology Solutions (CTSH) [-][FA]            |  |
| 5-year C.D. 4.35% [FA]                                                                                                   |  |                                                          |  |
| Vanguard PRIMECAP Fund (VPMAX) [+]                                                                                       |  | Vanguard Total World Stock ETF (VT) [C]                  |  |
| Vanguard Value ETF (VTV) [+]                                                                                             |  |                                                          |  |
| Vanguard International Core Stock Fund (VZICX) [+]                                                                       |  |                                                          |  |
|                                                                                                                          |  | Thinking About                                           |  |
|                                                                                                                          |  | ARK Space & Defense Innovation ETF (ARKX)                |  |
|                                                                                                                          |  | Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [-] |  |
| Legend: [+]<br>Add<br>[-]<br>Trim<br>[C]<br>Close<br>[N]<br>New<br>[FA]<br>Financial Advisor<br>[#]<br>number of members |  |                                                          |  |

| Buy, Sell, & Thinking About for WE 06/19/2026                                                       |  | OLLI-GMU Investment Forum, 06/24/2026                        |  |
|-----------------------------------------------------------------------------------------------------|--|--------------------------------------------------------------|--|
| Buy                                                                                                 |  | Sell                                                         |  |
| Health Care Select Sector SPDR Fund (XLV) [N]                                                       |  | NEOS Nasdaq 100 Hedged Equity Income ETF (QQQI) [C]          |  |
| Consumer Staples Select Sector SPDR Fund (XLP) [N]                                                  |  | Amazon.com, Inc. (AMZN) [C]                                  |  |
| iShares Core MSCI Total International Stock ETF (IXUS) [+]                                          |  | Intel Corporation (INTC) [C]                                 |  |
| iShares Core S&P Mid-Cap ETF (IJH) [+]                                                              |  |                                                              |  |
| PGIM AAA CLO ETF (PAAA) [N]                                                                         |  | PGIM AAA CLO ETF (PAAA) [C]                                  |  |
| Space Exploration Technologies Corp (SPCX) [N]                                                      |  |                                                              |  |
| TEMA ETF Trust Space Innovation ETF (NASA) [N]                                                      |  | GM 4.35% bond, mature 1/17/2027                              |  |
| Vanguard PRIMECAP (VPMAX)                                                                           |  |                                                              |  |
| Vanguard S&P 500 (VOO)                                                                              |  |                                                              |  |
| Advanced Micro Devices, Inc. (AMD) [+]                                                              |  | Taiwan Semiconductor Manufacturing Company Limited (TSM) [-] |  |
| Microsoft Corporation (MSFT) [+]                                                                    |  | Gilead Sciences, Inc. (GILD) [-]                             |  |
| Pfizer Inc. (PFE) [+]                                                                               |  |                                                              |  |
| Ares Capital Corporation (ARCC) [+]                                                                 |  |                                                              |  |
| VICI Properties Inc. (VICI) [+]                                                                     |  |                                                              |  |
| iShares MSCI India ETF (INDA) [+]                                                                   |  |                                                              |  |
| NextEra Energy, Inc. (NEE) [N]                                                                      |  |                                                              |  |
| T. Rowe Price Intermediate Municipal Income ETF (TAXE) [N]                                          |  |                                                              |  |
| Marsh & McLennan Companies, Inc. (MRSH) [N]                                                         |  | Abbott Laboratories (ABT) [C]                                |  |
| Marvell Technology, Inc. (MRVL) [N]                                                                 |  | Marvell Technology, Inc. (MRVL) [C]                          |  |
| Zoetis Inc. (ZTS) [N]                                                                               |  |                                                              |  |
| Host Hotels & Resorts, Inc. Bond 12/15/2029 4.75% YTM [N]                                           |  |                                                              |  |
| Southwest Airlines Co. Bond 11/15/2028 4.79% YTM [N]                                                |  |                                                              |  |
| Roper Technologies, Inc. Bond 09/15/2029 4.58% YTM [N]                                              |  | 400 Bu Corn (in the ground)                                  |  |
|                                                                                                     |  | Thinking About                                               |  |
|                                                                                                     |  | Invesco QQQ Trust (QQQ) [-]                                  |  |
|                                                                                                     |  | Invesco NASDAQ 100 ETF (QQQM) [-]                            |  |
|                                                                                                     |  |                                                              |  |
|                                                                                                     |  |                                                              |  |
| Legend:   [+] Add   [-] Trim   [C] Close   [N] New   [FA] Financial Advisor   [#] number of members |  |                                                              |  |

| Buy, Sell, & Thinking About for WE 06/12/2026                                                           |  | OLLI-GMU Investment Forum, 06/17/2026             |  |
|---------------------------------------------------------------------------------------------------------|--|---------------------------------------------------|--|
| Buy                                                                                                     |  | Sell                                              |  |
| NextEra Energy (NEE) [+]                                                                                |  |                                                   |  |
| PGIM AAA CLO ETF (PAAA) [+]                                                                             |  |                                                   |  |
| Defiance Daily 2X SpaceX ETF (SPCX) [N]                                                                 |  |                                                   |  |
| Space Exploration Technologies Corp. (SPCX) [N]                                                         |  |                                                   |  |
| Ares Capital Corporation (ARCC) [+]                                                                     |  | Kimco Realty Corp. (KIM) [C]                      |  |
| Amcor plc (AMCR) [+]                                                                                    |  |                                                   |  |
| Goldman Sachs Nasdaq-100 Income ETF (GPIQ) [+]                                                          |  |                                                   |  |
|                                                                                                         |  | Vanguard Short-Term Investment-Grade Fund (VFSUX) |  |
|                                                                                                         |  | Vanguard Intermediate-Grade Fund (VFIDX) [-]      |  |
| Petróleo Brasileiro S.A. (PBR.A) [N]                                                                    |  |                                                   |  |
|                                                                                                         |  | 400 Bu Corn (in the ground)                       |  |
|                                                                                                         |  |                                                   |  |
|                                                                                                         |  | Thinking About                                    |  |
|                                                                                                         |  | Defiance Daily 2X SpaceX ETF (SPCX)               |  |
|                                                                                                         |  | Watching the impact of SPCX on index funds        |  |
|                                                                                                         |  |                                                   |  |
|                                                                                                         |  |                                                   |  |
| Legend:  [+] Add    [-] Trim    [C] Close    [N] New    [FA] Financial Advisor    [#] number of members |  |                                                   |  |

| Buy, Sell, & Thinking About for WE 06/05/2026                                                       |  | OLLI-GMU Investment Forum, 06/10/2026                    |  |
|-----------------------------------------------------------------------------------------------------|--|----------------------------------------------------------|--|
| Buy                                                                                                 |  | Sell                                                     |  |
| Walmart (WMT) [N] [FA]                                                                              |  | Marvell Technology Inc. (MRVL) [-] [FA]                  |  |
| PGIM AAA CLO ETF (PAAA)                                                                             |  |                                                          |  |
| Vanguard High-Yield Corporate Fund (VWEAX) [N]                                                      |  |                                                          |  |
| BillionToOne, Inc. (BLLN) [N]                                                                       |  |                                                          |  |
|                                                                                                     |  | Tema Space Innovators ETF (NASA) [C]                     |  |
|                                                                                                     |  | SPDR S&P 500 ETF Trust (SPY) [-]                         |  |
| D-Wave Quantum Inc. (QBTS) [N]                                                                      |  |                                                          |  |
|                                                                                                     |  | Vanguard 500 Index Fund (VFIAX) [-]                      |  |
| Hercules Capital, Inc. (HTGC) [+]                                                                   |  | HASI Sustainable Infrastructure Capital, Inc. (HASI) [-] |  |
|                                                                                                     |  | International Business Machines Corp. (IBM) [-]          |  |
|                                                                                                     |  | Broadcom Inc. (AVGO) [-]                                 |  |
|                                                                                                     |  |                                                          |  |
|                                                                                                     |  | Thinking About                                           |  |
|                                                                                                     |  | Fed rate hikes (no LT bond purchases planned)            |  |
|                                                                                                     |  | Vanguard Growth ETF (VUG)                                |  |
|                                                                                                     |  |                                                          |  |
|                                                                                                     |  |                                                          |  |
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| Buy, Sell, & Thinking About for WE 05/29/2026                                           | OLLI-GMU Investment Forum, 06/03/2026                    |
|-----------------------------------------------------------------------------------------|----------------------------------------------------------|
| Buy                                                                                     | Sell                                                     |
| Flaherty and Crumrine Preferred and Income Securities (FFC) [+]                         | Janus Henderson AAA CLO ETF (JAAA) [-]                   |
| iShares MSCI South Korea ETF (EWY) [+]                                                  |                                                          |
| GPIQ (Goldman Sachs Nasdaq-100 Premium Income ETF) [N]                                  |                                                          |
| CTO (CTO Realty Growth, Inc.) [+]                                                       | AVGO (Broadcom Inc.) [-]                                 |
| HTGC (Hercules Capital Inc.) [+]                                                        | AMT (American Tower Corp.) [C]                           |
| C (Citigroup Inc.) [+]                                                                  | Soybeans (in the ground)                                 |
| VWO (Vanguard FTSE Emerging Markets Index Fund ETF Shares) [+]                          |                                                          |
| BAC (Bank of America Corp.) [+]                                                         |                                                          |
| Fidelity Contra fund (FCNTX) [+]                                                        | Uber Technologies Inc (UBER) [C]                         |
|                                                                                         | Schwab Growth ETF (SCHG)[C]                              |
| Vanguard Europe ETF (VGK) [+]                                                           | State Street Emerging Market (SPEM) [C]                  |
| Prologis Inc (PLD) [+]                                                                  | Lithium America Corp (LAC) [C]                           |
|                                                                                         | Triology Metals (TMQ) [C]                                |
| GPIQ (Goldman Sachs Nasdaq-100 Premium Income ETF) [+]                                  | Global Silver Miners (SIL) [C]                           |
| Fidelity Bond Fund (FBND) [N]                                                           | iShares Global Infrastructure ETF IGF [C]                |
|                                                                                         |                                                          |
| three brokered bank CDs for 9/10, 11/30 and 12/03 @ 3.9%                                | TSCSX (Thrivent Small Cap Stock Fund) [C]                |
| Vanguard International Core Stock (VZICX) [+]                                           | Vanguard Wellington (VWENX) [-]                          |
|                                                                                         |                                                          |
|                                                                                         |                                                          |
|                                                                                         | Thinking About                                           |
|                                                                                         | Defiance Quantum ETF (QTUM)                              |
|                                                                                         | Poland Republic 5.375% bond, 4/2036, A rated, YTM 5.308% |
|                                                                                         | Vanguard Intermediate Corp Bond ETF (VCIT)               |
|                                                                                         | AQR Small Cap Multi-Style Fund (QSMNX)                   |
|                                                                                         | PONY AI Inc ADR (PONY)                                   |
|                                                                                         | ATAI AtaiBeckley INC (ATAI)                              |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |                                                          |

| Buy, Sell, & Thinking About for WE 05/22/2026                                           |  | OLLI-GMU Investment Forum, 05/27/2026    |  |
|-----------------------------------------------------------------------------------------|--|------------------------------------------|--|
| Buy                                                                                     |  | Sell                                     |  |
| Invesco Variable Rate Preferred ETF (VRP)                                               |  | Micron (MU) [-]                          |  |
| Plug Power Inc (PLUG) [N]                                                               |  | Vanguard FTSE Emerging Markets ETF (VWO) |  |
| Temu Space Innovators ETF (NASA) [N]                                                    |  |                                          |  |
| Walmart Inc (WMT) [N] [+] [2]                                                           |  |                                          |  |
| NextEra Energy Inc (NEE) [N]                                                            |  | Old National Bank bond due 9/2026 [C]    |  |
| Microsoft Corp (MSFT) [+]                                                               |  | Kinder Morgan Inc (KMI) [-]              |  |
| Amazon.com Inc (AMZN) [+]                                                               |  | Alphabet Inc (GOOGL) [-]                 |  |
|                                                                                         |  |                                          |  |
|                                                                                         |  | Thinking About                           |  |
|                                                                                         |  | Paychex Inc (PAYX)                       |  |
|                                                                                         |  | Rigetti Computing (RGTI)                 |  |
|                                                                                         |  | D-Wave Quantum Inc (QBTS)                |  |
|                                                                                         |  | iShares MSCI South Korea ETF (EWY) [+]   |  |
|                                                                                         |  |                                          |  |
|                                                                                         |  |                                          |  |
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| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                          |  |



| Buy, Sell, & Thinking About for WE 05/15/2026                                           |  | OLLI-GMU Investment Forum, 05/20/2026     |  |
|-----------------------------------------------------------------------------------------|--|-------------------------------------------|--|
| Buy                                                                                     |  | Sell                                      |  |
| Goldman Sachs Nasdaq-100 Premium Income ETF (GPIQ) [+]                                  |  | Sixth Street Specialty Lending (TSLX) [C] |  |
| Trinity Capital (TRIN) [+]                                                              |  | Apple Inc (AAPL) [C]                      |  |
| Amcor PLC (AMCR) [+]                                                                    |  | AbbVie Inc (ABBV) [-]                     |  |
| Hercules Capital (HTGC) [+]                                                             |  |                                           |  |
| Ares Capital Corp (ARCC) [+]                                                            |  |                                           |  |
| Accenture PLC (ACN) [+]                                                                 |  | Canadian National Railway Co (CNI) [C]    |  |
| Intel Corp (INTC) [N]                                                                   |  | Goldman Sachs BDC Bond (due 2/2026) [C]   |  |
|                                                                                         |  | Ares Capital Bond (due 7/2026) [C]        |  |
| US Treasury Bills (30 Day) [+]                                                          |  | iShares Semiconductor ETF (SOXX) [-]      |  |
| Exxon Mobil Corp (XOM) [+]                                                              |  | VanEck Semiconductor ETF (SMH) [-]        |  |
| Petrobras (PBR) [N]                                                                     |  | Phreesia Inc (PHR) [C]                    |  |
|                                                                                         |  | Suburban Propane Partners (SPH) [C]       |  |
|                                                                                         |  | Thinking About                            |  |
|                                                                                         |  | iShares Semiconductor ETF (SOXX)          |  |
|                                                                                         |  | Accenture (ACN)                           |  |
|                                                                                         |  |                                           |  |
|                                                                                         |  |                                           |  |
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| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                           |  |

| Buy, Sell, & Thinking About for WE 05/08/2026                                           |  | OLLI-GMU Investment Forum, 05/13/2026                              |  |
|-----------------------------------------------------------------------------------------|--|--------------------------------------------------------------------|--|
| Buy                                                                                     |  | Sell                                                               |  |
| CVS Health (CVS) [N]                                                                    |  | Vanguard Mid-Cap ETF (VO) [-]                                      |  |
| Avidbank (AVBD) [+]                                                                     |  | Vanguard Growth ETF (VUG) [-]                                      |  |
| Intellia Therapeutics (NTLA) [N]                                                        |  |                                                                    |  |
| Krystal Biotech (KRYX) [N]                                                              |  |                                                                    |  |
| AppLovin Corp (APP) [+]                                                                 |  | SPDR S&P 500 ETF Trust (SPY) [-]                                   |  |
| Freedom 100 Emerging Markets ETF (FRDM) [+]                                             |  |                                                                    |  |
| NEOS Nasdaq 100 Hedged Equity Income ETF (QQQI) [+]                                     |  | Nokia (NOK) [C]                                                    |  |
| Hercules Capital (HTGC) [N]                                                             |  | Ripple (XRP) [C]                                                   |  |
|                                                                                         |  | VictoryShares International High Div Volatility Wtd ETF (VISN) [C] |  |
|                                                                                         |  | Goldman Sachs Nasdaq-100 Core Premium Income ETF (GPIQ) [C]        |  |
| Texas Instruments (TXN) [N]                                                             |  | Amphenol Corp (APH) [C]                                            |  |
|                                                                                         |  | JPMorgan Chase & Co. (JPM) [-]                                     |  |
|                                                                                         |  | Wells Fargo & Co. (WFC) [-]                                        |  |
| Hercules Capital (HTGC) [+]                                                             |  | Advanced Micro Devices (AMD) [-]                                   |  |
| Trinity Capital (TRIN) [+]                                                              |  | Apple Inc. (AAPL) [-]                                              |  |
|                                                                                         |  | Alphabet Inc. (GOOGL) [-]                                          |  |
|                                                                                         |  |                                                                    |  |
|                                                                                         |  | Thinking About                                                     |  |
| Fiserv Inc. (FISV) Bond 1 July 2029, 4.8984% YTM                                        |  | NVIDIA (NVDA) [-] & BroadCom (AVGO) [-]                            |  |
| AutoZone Inc. (AZO) Bond 15 July 2029, 4.5694% YTM.                                     |  | Global X SuperDividend ETF (SDIV)                                  |  |
|                                                                                         |  | Invesco Preferred ETF (PGX)                                        |  |
|                                                                                         |  | Vanguard PRIMECAP Fund (VPMAX) [+]                                 |  |
|                                                                                         |  | Vanguard International Core Stock Fund (VZICX) [+]                 |  |
|                                                                                         |  | Invesco S&P 500 Momentum ETF (SPMO) [+]                            |  |
|                                                                                         |  |                                                                    |  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                                                    |  |

| Buy, Sell, & Thinking About for WE 05/01/2026                                                       |  | OLLI-GMU Investment Forum, 05/06/2026                  |  |
|-----------------------------------------------------------------------------------------------------|--|--------------------------------------------------------|--|
| Buy                                                                                                 |  | Sell                                                   |  |
| Advanced Micro Devices Inc (AMD) [2]                                                                |  | Advanced Micro Devices Inc (AMD) [C] [-]               |  |
| Lam Research Corp (LRCX)                                                                            |  | Gaming and Leisure Properties Inc (GLPI) [-]           |  |
| Taiwan Semiconductor Manufacturing Co (TSM)                                                         |  | Verizon Communications Inc (VZ) [-]                    |  |
| Hercules Capital Inc (HTGC) [N]                                                                     |  |                                                        |  |
|                                                                                                     |  | Morgan Stanley (MS) [-]                                |  |
|                                                                                                     |  | GE Vernova (GEV) [-]                                   |  |
|                                                                                                     |  | Wolfspeed Inc (WOLF) [C]                               |  |
|                                                                                                     |  | Goldman Sachs Nasdaq-100 Premium Income ETF (GPIQ) [C] |  |
|                                                                                                     |  | Vanguard Total Bond Market Index Fund ETF(BND) [-][FA] |  |
|                                                                                                     |  |                                                        |  |
| Mondelēz International Inc (MDLZ) [+]                                                               |  | International Paper Co (IP) [C]                        |  |
| Nucor Corp (NUE) [+]                                                                                |  |                                                        |  |
| Parker-Hannifin Corp (PH) [+]                                                                       |  |                                                        |  |
| EPR Properties (EPR) Bond 4.9% YTM Aug 2029                                                         |  |                                                        |  |
| Genuine Parts Co (GPC) Bond 5.04% YTM Aug 2029                                                      |  |                                                        |  |
| Alerian MLP ETF (AMLP) [+]                                                                          |  | NVIDIA Corp (NVDA) [-]                                 |  |
| Trinity Capital Inc (TRIN) [+]                                                                      |  | Alphabet Inc (GOOGL) [-]                               |  |
| Hercules Capital Inc (HTGC) [+]                                                                     |  |                                                        |  |
| Ares Capital Corp (ARCC) [+]                                                                        |  |                                                        |  |
| VICI Properties Inc (VICI) [+]                                                                      |  |                                                        |  |
|                                                                                                     |  | Thinking About                                         |  |
|                                                                                                     |  | CVS Health Corp (CVS)                                  |  |
|                                                                                                     |  | HA Sustainable Infrastructure Capital, Inc. (HASI) [-] |  |
|                                                                                                     |  | Invesco S&P 500 Momentum ETF (SPMO)                    |  |
| Legend:   [+] Add   [-] Trim   [C] Close   [N] New   [FA] Financial Advisor   [#] number of members |  |                                                        |  |

| Buy, Sell, & Thinking About for WE 04/24/2026                                                       |  | OLLI-GMU Investment Forum, 04/29/2026                     |  |
|-----------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------|--|
| Buy                                                                                                 |  | Sell                                                      |  |
| Wolfspeed, Inc. (WOLF) [N]                                                                          |  | GS Nasdaq-100 Core Premium Income ETF (GPIQ) [C]          |  |
| PepsiCo, Inc. (PEP) [+] [FA]                                                                        |  |                                                           |  |
| Vanguard S&P 500 ETF (VOO) [ +]                                                                     |  | Vanguard Federal MMFs                                     |  |
| Vanguard PRIMECAP Fund (VPMCX) [ +]                                                                 |  |                                                           |  |
| Vanguard Municipal Money Market (VMSXX) [ +]                                                        |  |                                                           |  |
| PIMCO Income Fund (PIRMX) [N]                                                                       |  |                                                           |  |
| Ultragenyx Pharmaceutical Inc. (RARE) [N]                                                           |  |                                                           |  |
| Arthur J. Gallagher & Co. (AJG) [N]                                                                 |  | American Tower Corp. (AMT) [C]                            |  |
| Rocket Companies, Inc. (RKT) [ +]                                                                   |  | Pinnacle West Capital Corp. (PNW) [C]                     |  |
|                                                                                                     |  | Broadcom Inc. (AVGO) [-]                                  |  |
|                                                                                                     |  | Advanced Micro Devices, Inc. (AMD) [-]                    |  |
|                                                                                                     |  | Taiwan Semiconductor Mfg. Co. (TSM) [-]                   |  |
|                                                                                                     |  | Amazon.com, Inc. (AMZN) [-]                               |  |
|                                                                                                     |  | iShares Core S&P Mid-Cap ETF (IJH) [C]                    |  |
|                                                                                                     |  |                                                           |  |
|                                                                                                     |  |                                                           |  |
| Regrets                                                                                             |  | Thinking About                                            |  |
| Intel Corp. (INTC) [2]                                                                              |  | PIMCO Inflation Response Multi-Asset Fund Class A (PZRMX) |  |
|                                                                                                     |  | Trane Technologies PLC                                    |  |
|                                                                                                     |  | Vanguard Municipal MMF @ 3.47% Fed Tax Free               |  |
|                                                                                                     |  |                                                           |  |
| Legend:   [+] Add   [-] Trim   [C] Close   [N] New   [FA] Financial Advisor   [#] number of members |  |                                                           |  |

| Buy, Sell, & Thinking About for WE 04/17/2026                                           |  | OLLI-GMU Investment Forum, 04/22/2026              |  |
|-----------------------------------------------------------------------------------------|--|----------------------------------------------------|--|
| Buy                                                                                     |  | Sell                                               |  |
| Verizon Communications (VZ) [+]                                                         |  | AT&T Inc. (T) [C]                                  |  |
| CTO Realty Growth (CTO) [+]                                                             |  | MSC Industrial Direct (MSM) [C]                    |  |
| Ares Capital (ARCC) [+]                                                                 |  | Medtronic plc (MDT) [C]                            |  |
| Amcor plc (AMCR) [+]                                                                    |  |                                                    |  |
| Alpine Income Property Trust (PINE) [N]                                                 |  |                                                    |  |
| iShares Core MSCI Emerging Markets ETF (IEMG) [+]                                       |  |                                                    |  |
| RTX Corporation (RTX) [+]                                                               |  |                                                    |  |
| Quantum Computing Inc. (QBTS) [N]                                                       |  | Quantum Computing Inc. (QBTS) [C]                  |  |
| Fannie Mae (FNMA) [+]                                                                   |  |                                                    |  |
| PGIM AAA CLO ETF (PAAA) [+]                                                             |  |                                                    |  |
| PNC Bank 4-month CD (3.5%) [N]                                                          |  | Vanguard MMKT [-]                                  |  |
| Vanguard Interm-Term Corp Bond ETF (VCIT) [+]                                           |  | PNC MMKT [-]                                       |  |
| Brokered 3-month CD (3.9%) [N]                                                          |  |                                                    |  |
| Netflix Inc. (NFLX) [+]                                                                 |  | Equinor ASA (EQNR) [-]                             |  |
| Rocket Companies Inc. (RKT) [N]                                                         |  | Micron Technology Inc. (MU) [C]                    |  |
| Sysco Corporation (SYY) [+]                                                             |  |                                                    |  |
| ONEOK Inc. Bond (Maturing 9/1/2029) [N]                                                 |  | Thinking About                                     |  |
|                                                                                         |  | BlackRock Corporate High Yield Fund (HYT)          |  |
|                                                                                         |  | Intel Corp. (INTC)                                 |  |
|                                                                                         |  | Vanguard Intermediate-Term Tax-Exempt Fund (VWIUX) |  |
|                                                                                         |  |                                                    |  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                                    |  |

| Buy, Sell, & Thinking About for WE 04/10/2026                                           |  | OLLI-GMU Investment Forum, 04/15/2026                       |  |
|-----------------------------------------------------------------------------------------|--|-------------------------------------------------------------|--|
| Buy                                                                                     |  | Sell                                                        |  |
| Fannie Mae (FNMA) [N]                                                                   |  | Vanguard Financial Sector (VFH) [-]                         |  |
| Fidelity Contrafund (FCNTX) [N]                                                         |  |                                                             |  |
|                                                                                         |  |                                                             |  |
| Fidelity Select Industrials (FIDRX) [+]                                                 |  | Fidelity Select Financials (FIDSX) [C]                      |  |
| Fidelity Select Consumer Staples (FDFAX) [N]                                            |  |                                                             |  |
|                                                                                         |  |                                                             |  |
| United Parcel Service, Inc. (UPS) [+]                                                   |  | Sanofi (SNY) [C]                                            |  |
| RTX Corporation (RTX) [N]                                                               |  | AstraZeneca PLC (AZN) [-]                                   |  |
|                                                                                         |  | Alphabet Inc. (GOOGL) [-]                                   |  |
|                                                                                         |  |                                                             |  |
| Broadcom (AVGO)                                                                         |  | Amazon (AMZN) \$200 Puts Expires 12/2028 [N]                |  |
| Palantir (PLTR)                                                                         |  | Apple (AAPL) \$250, \$260 Puts Expires 1/2028 & 12/2028 [N] |  |
| SiTime (SITM)                                                                           |  | Alphabet (GOOGL) \$285 Puts Expires 12/2028 [N]             |  |
|                                                                                         |  | NVIDIA (NVDA) \$180 Puts Expires 12/2028 [N]                |  |
| BlackRock Flexible Income ETF (BINC) [N]                                                |  | Fidelity Strategic Income Fund (FADMX) [C]                  |  |
| Morgan Stanley Emerging Markets Bond ETF (EDD) [+]                                      |  |                                                             |  |
| JPMorgan Equity Premium Income ETF (JPIE) [+]                                           |  | Thinking About                                              |  |
| PGIM AAA CLO ETF (PAAA) [+]                                                             |  | Omnicom Group (OMC)                                         |  |
|                                                                                         |  | Fannie Mae (FNMA)                                           |  |
|                                                                                         |  | Alpine Income Property Trust, Inc. (PINE)                   |  |
|                                                                                         |  |                                                             |  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                                             |  |

| Buy, Sell, & Thinking About for WE 04/03/2026                                                                   |  | OLLI-GMU Investment Forum, 04/08/2026 |  |
|-----------------------------------------------------------------------------------------------------------------|--|---------------------------------------|--|
| Buy                                                                                                             |  | Sell                                  |  |
| Tesla (TSLA)*                                                                                                   |  |                                       |  |
| Hercules Capital (HTGC)*                                                                                        |  | Alphabet (GOOGL)*                     |  |
|                                                                                                                 |  | Chevron (CVX)*                        |  |
| Chevron Corp. (CVX) Puts [N]                                                                                    |  |                                       |  |
| Exxon Mobil Corp. (XOM) Puts [N]                                                                                |  |                                       |  |
| Schwab US Dividend Equity ETF (SCHD) [+]                                                                        |  | Vanguard Small Cap (Blend) (VB) [-]   |  |
| Vanguard Large Cap Value (VTV) ETF                                                                              |  | Exxon Mobil Corp. (XOM) [-]           |  |
| NiSource Inc. (NI) [N]                                                                                          |  |                                       |  |
| BondBloxx 10 Year Target Duration US Treasury ETF (XTEN) [N]                                                    |  | Rolls-Royce Holdings plc (RYCEY) [C]  |  |
| 4.25% T-note due 1-15-28 [N] [FA]                                                                               |  | Roche Holding AG (RHHBY) [-]          |  |
|                                                                                                                 |  |                                       |  |
|                                                                                                                 |  | Thinking About                        |  |
| SPDR S&P 500 ETF Trust (SPY) [+]                                                                                |  | Alphabet (GOOGL)                      |  |
| GS Nasdaq-100 Core Premium Income ETF (GPIQ) [+]                                                                |  | Microsoft (MSFT)                      |  |
| SPDR Gold Shares (GLD) [+]                                                                                      |  | Taiwan Semiconductor (TSM)            |  |
| Vanguard FTSE Emerging Markets ETF (VWO) [+]                                                                    |  |                                       |  |
| Freedom 100 Emerging Markets ETF (FRDM) [+]                                                                     |  | Boeing (BA)                           |  |
| United Parcel Service, Inc. (UPS) [N]                                                                           |  | Fannie Mae (FNMA)                     |  |
|                                                                                                                 |  |                                       |  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members *Trades from prior week |  |                                       |  |

| Buy, Sell, & Thinking About for WE 03/27/2026                                                             |  | OLLI-GMU Investment Forum, 04/01/2026              |  |
|-----------------------------------------------------------------------------------------------------------|--|----------------------------------------------------|--|
| Buy                                                                                                       |  | Sell                                               |  |
| Gaming and Leisure Properties, Inc. (GLPI) [N]                                                            |  | GS Nasdaq 100 Core Premium Income ETF (GPIQ) [C]   |  |
| Ares Capital Corp (ARCC) [+]                                                                              |  | CVS Health Corp (CVS) [C]                          |  |
| Amazon.com, Inc. (AMZN) [+]                                                                               |  |                                                    |  |
| Vanguard Growth ETF (VUG) [+]                                                                             |  |                                                    |  |
| Fidelity International Index Fund (FSPSX) [N]                                                             |  | Fidelity 500 Index Fund (FXAIX) [C]                |  |
| Vanguard Total Intl Bd ETF (BNDX) [N] [FA]                                                                |  | Vanguard FTSE Developed Markets ETF (VEA) [C] [FA] |  |
| Vanguard Intermediate-Term Inv-Gr Fund (VFIDX) [N] [FA]                                                   |  | Service Corporation International (SCI) [C] [FA]   |  |
|                                                                                                           |  | Novo Nordisk A/S (NVO) [C] [FA]                    |  |
|                                                                                                           |  | ProShares Pet Care ETF (PAWZ) [C] [FA]             |  |
| Apollo Global Management (APO) [+]                                                                        |  | Navigator Holdings Ltd. (NVGS) [-]                 |  |
| Nu Holdings Ltd. (NU) [+]                                                                                 |  | CVR Energy, Inc. (CVI) [-]                         |  |
| Brookfield Corp (BN) [+]                                                                                  |  | SLB Limited (SLB) [-]                              |  |
| Equinor ASA (EQNR) [+]                                                                                    |  | Beacon Financial Corporation (BBT) [C]             |  |
| EQT Corporation (EQT) [+]                                                                                 |  | iShares MSCI India ETF (INDA) [C]                  |  |
| Kilroy Realty Corp (KRC) Bond 8/2028 4.66%YTM                                                             |  |                                                    |  |
| Oracle Corp (ORCL) Bond 9/2029 4.59% YTM                                                                  |  |                                                    |  |
| 13 FDIC-Insured CDs [N] May & June 2026                                                                   |  |                                                    |  |
| Vanguard Short-Term Corporate Bond ETF (VCSH) [+]                                                         |  | Fidelity Total Bond ETF (FBND) [-]                 |  |
|                                                                                                           |  | Vanguard Int-Term Corp Bond ETF (VCIT) [-]         |  |
|                                                                                                           |  | Thinking About                                     |  |
|                                                                                                           |  | REalloys Inc (ALOY)                                |  |
|                                                                                                           |  | Schwab U.S. Dividend Equity ETF (SCHD)             |  |
|                                                                                                           |  | Vanguard Global Capital Cycles Fund (VGPMX)        |  |
|                                                                                                           |  |                                                    |  |
| Legend: [+]<br>Add [-]<br>Trim [C]<br>Close [N]<br>New [FA]<br>Financial Advisor [#]<br>number of members |  |                                                    |  |



| Buy, Sell, & Thinking About for WE 03/20/2026                                                                            |  | OLLI-GMU Investment Forum, 03/25/2026           |  |
|--------------------------------------------------------------------------------------------------------------------------|--|-------------------------------------------------|--|
| Buy                                                                                                                      |  | Sell                                            |  |
| iShares MSCI Israel ETF (EIS) [+]                                                                                        |  |                                                 |  |
| Realty Income (O) [+]                                                                                                    |  |                                                 |  |
| Vanguard Int-Term Corp Bond ETF (VCIT) [+]                                                                               |  |                                                 |  |
| AbbVie Inc. (ABBV) [+]                                                                                                   |  | Deutsche Bank AG (DB) [C]                       |  |
| Cintas Corp. (CTAS) [+]                                                                                                  |  | Webster Financial (WBS) [C]                     |  |
| Safran SA (SAFRY) [+]                                                                                                    |  |                                                 |  |
| EQT Corporation Bond 7/15/2028, 4.4434% YTM (BBB)                                                                        |  |                                                 |  |
| VICI Properties (VICI) [+]                                                                                               |  | Exxon Mobil (XOM) [-]                           |  |
| GS Nasdaq 100 Core Premium Income ETF (GPIQ) [+]                                                                         |  | National Storage Affiliates (NSA) [C]           |  |
| VICI Properties (VICI) [N]                                                                                               |  | FidelitySmall Business ETF (FESM)               |  |
|                                                                                                                          |  | Gold                                            |  |
|                                                                                                                          |  |                                                 |  |
|                                                                                                                          |  | Thinking About                                  |  |
|                                                                                                                          |  | Altria Group (MO) [C]                           |  |
|                                                                                                                          |  | Fidelity MSCI Consumer Staples Index ETF (FSTA) |  |
|                                                                                                                          |  | Vanguard Utilities Index Fund ETF Shares (VPU)  |  |
|                                                                                                                          |  | iShares U.S. Aerospace & Defense ETF (ITA)      |  |
|                                                                                                                          |  | Fidelity Total Bond ETF (FBND) [-]              |  |
|                                                                                                                          |  | Vanguard Int-Term Corp Bond ETF (VCIT) [-]      |  |
|                                                                                                                          |  | Unicredit Bank (UNCRY)                          |  |
|                                                                                                                          |  | BDC Bonds (Goldman & Ares Capital) [C]          |  |
|                                                                                                                          |  | Vanguard Growth ETF (VUG) [+]                   |  |
| Legend: [+]<br>Add<br>[-]<br>Trim<br>[C]<br>Close<br>[N]<br>New<br>[FA]<br>Financial Advisor<br>[#]<br>number of members |  |                                                 |  |

| Buy, Sell, & Thinking About for WE 03/13/2026                                           |  | OLLI-GMU Investment Forum, 03/18/2026         |  |
|-----------------------------------------------------------------------------------------|--|-----------------------------------------------|--|
| Buy                                                                                     |  | Sell                                          |  |
| ResMed Inc. (RMD) [+] [FA]                                                              |  |                                               |  |
| Bank of America (BAC)                                                                   |  | Corn and Soybeans (not yet planted)           |  |
| Vanguard FTSE Emerging Markets ETF (VWO)                                                |  | Vanguard Dividend Growth Fund (VDIGX) [C]     |  |
| Goldman Sachs Nasdaq-100 Premium Income ETF (GPIQ) [+]                                  |  |                                               |  |
| Vanguard Intermediate-Term Corporate Bond Index ETF (VCIT) [+]                          |  |                                               |  |
| Boeing (BA) [N]                                                                         |  | NVIDIA Corp. (NVDA) [-]                       |  |
| Capital Southwest Corporation (CSWC) [+]                                                |  |                                               |  |
| Trinity Capital Inc. (TRIN) [+]                                                         |  |                                               |  |
| Ares Capital Corporation (ARCC) [+]                                                     |  |                                               |  |
|                                                                                         |  |                                               |  |
| Apple Federal Credit Union CD 4.1% (70-week, ~16mo)                                     |  |                                               |  |
| Wells Fargo 4.75% Perpetual Preferred Stock, Callable (WFCPRZ)                          |  | GE Vernova (GEV) [-]                          |  |
| Capital One 5.00% Perpetual Preferred Stock, Callable (COFPRI)                          |  |                                               |  |
| Amazon Com Inc Note, Make Whole, 5/12/2031, YTM 4.3%                                    |  |                                               |  |
|                                                                                         |  |                                               |  |
|                                                                                         |  | Thinking About                                |  |
|                                                                                         |  | Space X IPO                                   |  |
|                                                                                         |  | Vanguard Value ETF (VTV) [+]                  |  |
|                                                                                         |  | Apple Federal Credit Union CD 4.1% (70-Month) |  |
|                                                                                         |  | Vivi Properties Inc [+]                       |  |
|                                                                                         |  |                                               |  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                               |  |

| Buy, Sell, & Thinking About for WE 03/06/2026                                                      |  | OLLI-GMU Investment Forum, 03/11/2026          |  |
|----------------------------------------------------------------------------------------------------|--|------------------------------------------------|--|
| Buy                                                                                                |  | Sell                                           |  |
| Microsoft Corp. (MSFT) [+] [FA]                                                                    |  |                                                |  |
| Energy Transfer LP (ET)                                                                            |  |                                                |  |
| Plains All American Pipeline LP (PAA)                                                              |  |                                                |  |
| Stevanato Group SpA (STVN)                                                                         |  |                                                |  |
| iShares Global Infrastructure ETF (IGF) [+]                                                        |  |                                                |  |
| Vanguard Value ETF (VTV) [+]                                                                       |  |                                                |  |
| Vanguard Total International Stock ETF (VXUS) [+]                                                  |  |                                                |  |
| SPDR Portfolio Emerging Markets ETF (SPEM) [+]                                                     |  |                                                |  |
| Newmont Corporation (NEM) [+]                                                                      |  |                                                |  |
| Vanguard Industrials ETF (VIS) [+]                                                                 |  |                                                |  |
| Getty Realty Corp. (GTY) [N]                                                                       |  | Goldman Sachs Nasdaq-100 Income ETF (GPIQ) [C] |  |
| Goldman Sachs Nasdaq-100 Income ETF (GPIQ) [+]                                                     |  |                                                |  |
| Capital Southwest Corporation (CSWC) [+]                                                           |  | AES Corporation (AES) [C]                      |  |
| Vanguard S&P 500 ETF (VOO) [+]                                                                     |  | SPDR S&P 500 ETF Trust (SPY) [C]               |  |
|                                                                                                    |  |                                                |  |
| Goldman Sachs BDC Bond 5.35%, 2/10/29, YTM 5.8%                                                    |  | Blackstone Private Credit Fund Bond 9/10/2030  |  |
|                                                                                                    |  |                                                |  |
|                                                                                                    |  | Thinking About                                 |  |
|                                                                                                    |  | Vanguard Intermediate Corp Bond Fund (VCIT)    |  |
|                                                                                                    |  |                                                |  |
|                                                                                                    |  |                                                |  |
|                                                                                                    |  |                                                |  |
| Legend:  [+] Add   [-] Trim   [C] Close   [N] New   [FA] Financial Advisor   [#] number of members |  |                                                |  |

| Buy, Sell, & Thinking About for WE 02/27/2026                                           |  | OLLI-GMU Investment Forum, 03/04/2026/          |  |
|-----------------------------------------------------------------------------------------|--|-------------------------------------------------|--|
| Buy                                                                                     |  | Sell                                            |  |
| Amazon (AMZN) [+] [FA]                                                                  |  | Alphabet (GOOGL) [-]                            |  |
| Broadbridge Finaincail Solutions (BR) [N] [FA]                                          |  | Roche Holding AG (RHHBY) [FA] [C]               |  |
| Vanguard Total Intl Stock fund (VXUS) [+]                                               |  | Vanguard S&P 500 (VOO) [C]                      |  |
| Vanguard Europe fund (VGX) [+]                                                          |  | IShares S&P Momentum fund (SPMO) [-]            |  |
| Corning (GLW) [N]                                                                       |  |                                                 |  |
| Gilead (GILD) [+]                                                                       |  |                                                 |  |
| IShares MSCE Chile ETF (ECH) [+]                                                        |  |                                                 |  |
| Invesco Aerospace and Defense ETF (PPA) [N]                                             |  | Akre Focus ETF (AKRE) [-]                       |  |
| Lord Abbott Short Duration Hi-Yield bond (LSYAX) [N]                                    |  | FAM Dividend Focus (FAMEX) [C]                  |  |
| PIMCO Income Fund (PONAX) [+]                                                           |  |                                                 |  |
|                                                                                         |  |                                                 |  |
| Vanguard Int'l Equity Developed Markets ETF (VEA) [+]                                   |  | Vanguard S&P 500 (VOO) [—]                      |  |
| Vanguard Int'l Equity Emerging Markets ETF (VWO) [+]                                    |  |                                                 |  |
| ONEOK Inc (OKE) [+]                                                                     |  | Williams Companies Inc (WMB) [C]                |  |
| Hercules Capital (HTGC) [+]                                                             |  | Alexandria Real Estate Equities Inc (ARE) [C]   |  |
|                                                                                         |  |                                                 |  |
| Nvidia (NVDA) [+]                                                                       |  |                                                 |  |
| Vanguard Total Bond Market Index Fund (BND) [+]                                         |  | Thinking About                                  |  |
| Vanguard Total International Bond Index Fund (BNDX) [+]                                 |  | Fidelity International Multifactor ETF (FDEV)   |  |
| Fidelity Total Bond ETF (FBND) [+]                                                      |  | Fidelity International High Dividend ETF (FIDI) |  |
| 3.701% 6 week T Bill via Treasury Direct                                                |  |                                                 |  |
| Blue Owl Technology Financial Corp, 6.70%, 4/20/2029                                    |  | Novo Nordisk (NVO)                              |  |
|                                                                                         |  |                                                 |  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                                 |  |

| Buy, Sell, & Thinking About for WE 02/20/2026                                           |  | OLLI-GMU Investment Forum, 02/25/2026/      |  |
|-----------------------------------------------------------------------------------------|--|---------------------------------------------|--|
| Buy                                                                                     |  | Sell                                        |  |
| iShares MSCI Japan ETF (EWF) [+]                                                        |  | RTX Corporation (RTX) [-] [FA]              |  |
| PGIM AAA CLO ETF (PAAA) [+]                                                             |  | Janus Detroit Street AAA CLO ETF (JAAA) [-] |  |
| ARK Space & Defense Innovation ETF (ARKX)                                               |  |                                             |  |
| iShares MSCI Emerging Markets ETF (EEM) [+]                                             |  |                                             |  |
| State Street Industrial Select Sector SPDR ETF (XLI) [+]                                |  |                                             |  |
| Taiwan Semiconductor (TSM) [+]                                                          |  | Apple (AAPL) [-]                            |  |
| Applied Materials (AMAT) [+]                                                            |  | Microsoft (MSFT) [-]                        |  |
| Amphenol Corp (APH) [+]                                                                 |  | Amazon (AMZN) [-]                           |  |
| Vale ADR (VALE) ) [+]                                                                   |  | Google (GOOGL) [-]                          |  |
| Analog Devices (ADI) [N]                                                                |  | Cisco (CSCO) [C]                            |  |
|                                                                                         |  | Advanced Micro Devices (AMD) [C]            |  |
| Honeywell (HON) [N]                                                                     |  |                                             |  |
| HTGC (Hercules Capital) [+][N]                                                          |  |                                             |  |
| Getty Realty Corp (GTY) [+]                                                             |  |                                             |  |
|                                                                                         |  | Thinking About                              |  |
|                                                                                         |  | Gilead (GILD) [+]                           |  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                             |  |

| Buy, Sell, & Thinking About for WE 02/13/2026                     |  | OLLI-GMU Investment Forum, 02/18/2026/                  |  |
|-------------------------------------------------------------------|--|---------------------------------------------------------|--|
| Buy                                                               |  | Sell                                                    |  |
| Hercules Capital (HTGC)                                           |  | State Street® SPDR® S&P 500® ETF (SPY) [-]              |  |
| HTGC (Hercules Capital) [+]                                       |  | POR (Portland General Electric) [C]                     |  |
| ARCC (Ares Capital) [+]                                           |  | CTRE (CareTrust REIT Inc.) [-]                          |  |
| GPIQ (Goldman Sachs Nasdaq-100 Income ETF) [+]                    |  | T (AT&T) [C]                                            |  |
| T Rowe Price QM US Bond (TAGG) [N]                                |  | T Rowe Price Health Sciences (THISX) [-]                |  |
| T Rowe Price US High Yield (THYF) [N]                             |  | T Rowe Price Science and Technology (TSNIX) [-]         |  |
| T Rowe Price Total Return (TOTR) [N]                              |  | T Rowe Price Blue Chip Growth (TBCIX) [-]               |  |
| Vanguard Total Bond Market ETF (BND) [+]                          |  | T Rowe Price Short Term Bond Fund (TBSIX) [-]           |  |
| Vanguard S&P 500 (VOO) [+]                                        |  |                                                         |  |
| Vanguard PRIMECAP (VPMCX) [+]                                     |  |                                                         |  |
| Vanguard International Core Stock (VZICX) [+]                     |  |                                                         |  |
| Vanguard Value ETF (VTV) [+]                                      |  |                                                         |  |
| American International Group Inc. (AIG) [+]                       |  |                                                         |  |
| iShares Gold Trust (IAU) [+]                                      |  |                                                         |  |
| Micron Technology Inc (MU) [N]                                    |  |                                                         |  |
| Rockwell Automation Inc. (ROK) [N]                                |  |                                                         |  |
| CNO Financial Group Inc. 30/5/2029 4.6268% YTM                    |  | ICahn Enterprise bond, 5.25%, due 5/15/2027 (BB rated,) |  |
| U.S.Treasury 21/4/2026 3.6184% YTM                                |  | 1,000 bu Corn and 200 bu. Soybeans                      |  |
|                                                                   |  | Thinking About                                          |  |
|                                                                   |  | International stock ETFs                                |  |
|                                                                   |  | Using more alerts                                       |  |
|                                                                   |  | Vanguard Growth ETF (VUG)                               |  |
|                                                                   |  |                                                         |  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor |  | #] number of members                                    |  |

| Buy, Sell, & Thinking About for WE 02/06/2026                                                      |  | OLLI-GMU Investment Forum, 02/11/2026     |  |
|----------------------------------------------------------------------------------------------------|--|-------------------------------------------|--|
| Pg 1 of 2                                                                                          |  |                                           |  |
| Buy                                                                                                |  | Sell                                      |  |
|                                                                                                    |  |                                           |  |
| Vanguard International Core Stock (VZICX) [N]                                                      |  | Louisiana-Pacific Corp (LPX) [-]          |  |
| AbbVie Inc. (ABBV) [N] [FA]                                                                        |  | Abbott Labs (ABT) [FA]                    |  |
| Pfizer (PFE) [N] [FA]                                                                              |  | Stryker (SYK) [FA]                        |  |
| Novartis (NVS) [+] [FA]                                                                            |  | Adobe (ADBE) [FA]                         |  |
| Palantir (PLTR) [+]                                                                                |  | Unicredit S.P.A. (UNCRY) [-]              |  |
|                                                                                                    |  |                                           |  |
| iShares MSCI Mexico ETF (EWW) [+]                                                                  |  | Gold (old jewelry)                        |  |
| iShares MSCI Brazil ETF (EWZ) [+]                                                                  |  |                                           |  |
| Avidbank (AVBH) [N]                                                                                |  |                                           |  |
| Ares Capital BDC (ARCC) [+]                                                                        |  | Blue Owl BDC (OBDC) [C]                   |  |
| Amazon (AMZN) [+]                                                                                  |  | Warner-Discovery (WBD) [C]                |  |
| Goldman Sachs Income (GPIQ) [+]                                                                    |  | Versant (VSNT) [C]                        |  |
|                                                                                                    |  |                                           |  |
| Amazon.com Inc. (AMZN) [+]                                                                         |  | Hershey Company (HSY) [-]                 |  |
| Amphenol Corporation (APH) [N]                                                                     |  |                                           |  |
| Boston Scientific Corporation (BSX) [+]                                                            |  |                                           |  |
| Cal Maine Foods, Inc.(CALM) [N]                                                                    |  |                                           |  |
| Cadence Design Systems Inc.(CDNS) [+]                                                              |  |                                           |  |
| iShares MSCI India ETF (INDA) [+]                                                                  |  |                                           |  |
| iShares U.S. Aerospace and Defense ETF (ITA)                                                       |  |                                           |  |
| Palo Alto Networks (PANW) [+]                                                                      |  |                                           |  |
| iShares Preferred and Income Securities ETF (PFF)                                                  |  |                                           |  |
| Uber Technologies Inc. (UBER)                                                                      |  |                                           |  |
|                                                                                                    |  | Thinking About                            |  |
| Vanguard S&P 500 (VOO) [+]                                                                         |  | Vanguard International Core Stock (VZICX) |  |
|                                                                                                    |  | Vanguard Value ETF (VTV)                  |  |
|                                                                                                    |  |                                           |  |
|                                                                                                    |  |                                           |  |
| Legend:  [+] Add   [-] Trim   [C] Close   [N] New   [FA] Financial Advisor   [#] number of members |  |                                           |  |

| Buy, Sell for WE 02/06/2026                                                                   |  | OLLI-GMU Investment Forum, 02/11/2026 |
|-----------------------------------------------------------------------------------------------|--|---------------------------------------|
| Pg 2 of 2 Fixed Income                                                                        |  |                                       |
| Buy                                                                                           |  | Sell                                  |
| Vanguard Short Term Corporate Bond [+]                                                        |  | BlackRock Income Fund (BMSAX) [C]     |
| PGIM Trust AAA CLO [+]                                                                        |  |                                       |
| Morgan Stanley Emerging Markets (EDD) [+]                                                     |  |                                       |
| JP Morgan Income ETF (JPIE) [+]                                                               |  |                                       |
| First Trust Low Duration Opportunities ETF (LBMS) [N]                                         |  |                                       |
|                                                                                               |  |                                       |
| BMO CD 3/2/26 4.75%, YTM 4.017%                                                               |  |                                       |
| Morgan Stanley Private Bank, 2/4/31, 3.9%, YTM 4.011%                                         |  |                                       |
|                                                                                               |  |                                       |
|                                                                                               |  |                                       |
|                                                                                               |  | Thinking About                        |
|                                                                                               |  |                                       |
|                                                                                               |  |                                       |
|                                                                                               |  |                                       |
|                                                                                               |  |                                       |
| Legend:  [+] Add  [-] Trim  [C] Close  [N] New  [FA] Financial Advisor  [#] number of members |  |                                       |



## Buy, Sell, & Thinking About for WE 01/30/2026

## OLLI-GMU Investment Forum, 02/04/2026

Page 1 of 2

### Buy

Microsoft (MSFT) [+] [2]

Tesla (TSLA) [+]

GE Vernova (GEV) [N]

NBIS Group (NBIS) [N]

IREN Limited (IREN) [N]

American International Group Inc [N]

Boston Scientific Corporation [N]

Airbus SE [+]

Moelis and Company [+]

UBS Group AG [+]

### Sell

Northrop (NOC)

Vanguard Total Stock Market. (VTSAX) [-]

Service Now (NOW) [C]

Oracle (ORCL) [C]

Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members

| Buy, Sell, & Thinking About for WE 01/30/2026                                                 |  | OLLI-GMU Investment Forum, 02/04/2026               |  |
|-----------------------------------------------------------------------------------------------|--|-----------------------------------------------------|--|
| Page 2 of 2                                                                                   |  |                                                     |  |
| Buy                                                                                           |  | Sell                                                |  |
| Redwire Corp (RDW)                                                                            |  | iShares Silver Trust (SLV) [-]                      |  |
| Vanguard Materials (VAW)                                                                      |  | SPDR Gold Shares (GLD) [C]                          |  |
| Vale S.A. Spons ADS (VALE) [N]                                                                |  |                                                     |  |
| Whitefiber Inc (WYFI) [N]                                                                     |  |                                                     |  |
| Sprott Junior Copper Miners ETF (COPJ) [+]                                                    |  |                                                     |  |
| Goldman Sachs Income (GPIQ) [+]                                                               |  |                                                     |  |
|                                                                                               |  |                                                     |  |
| Vanguard International Core Stock (VZICX) [+]                                                 |  | Vanguard Wellington (VWENX) [-]                     |  |
| State Street® Industrial Select Sector SPDR ETF (XLI) [N]                                     |  |                                                     |  |
| iShares Core MSCI Emerging Markets ETF (EEM)                                                  |  |                                                     |  |
| Vanguard Total International (VXUS) [+]                                                       |  |                                                     |  |
| Vanguard Int'l Equity Emerging Markets ETF (VWO) [+] [N]                                      |  | 1,000 bu corn and 200 bu soybeans                   |  |
| iShares MSCI Israel (EIS)                                                                     |  |                                                     |  |
| Select STOXX Europe Aerospace & Defense (EUAD)                                                |  |                                                     |  |
|                                                                                               |  |                                                     |  |
| Fidelity Total Bond ETF (FBND) [+]                                                            |  | Schwab U.S. Dividend Equity ETF (SCHD) [C] [-]      |  |
| PIMCO Enhanced Short Maturity Active ETF (MINT) [N]                                           |  |                                                     |  |
| Vanguard Ultra-Short Treasury ETF (VGUS)                                                      |  |                                                     |  |
|                                                                                               |  | Thinking About                                      |  |
| Amcor PLC, 23 May 2029, 4.3026%YTM                                                            |  | Amazon [Sell]                                       |  |
| Morgan Stanley Direct Lending Fund, 5/17/29, 6/1/29 5.0711%YTM                                |  | Fidelity International Index Strategy               |  |
| TC Energy Corporation, 15 June2029, 4.4875%YTM                                                |  | Fidelity U.S. Low Volatility Index Strategy         |  |
| Enstar Group Limited Bond, 1 June 2029, 4.6037%YTM                                            |  | iShares GNMA Bond ETF (GNMA)                        |  |
|                                                                                               |  | Vanguard Mortgage-Backed Securities Fund ETF (VMBS) |  |
|                                                                                               |  | iShares MBS ETF (MBB)                               |  |
| Legend:  [+] Add  [-] Trim  [C] Close  [N] New  [FA] Financial Advisor  [#] number of members |  |                                                     |  |

| Buy, Sell, & Thinking About for WE 01/23/2026                               |  | OLLI-GMU Investment Forum, 01/28/2026    |
|-----------------------------------------------------------------------------|--|------------------------------------------|
| Buy                                                                         |  | Sell                                     |
| Vanguard S&P 500 ETF (VOO)                                                  |  |                                          |
| GE Aerospace (GE) [+]                                                       |  |                                          |
| Vanguard PRIMECAP fund (VPMCX) [+]                                          |  |                                          |
| iShares Core MSCI Emerging Markets ETF (IEMG) [+]                           |  | Vanguard S&P 500 ETF VOO) [-]            |
| Cummins Inc. (CMI) [+]                                                      |  | Adobe Inc. (ADBE) [C]                    |
| Cintas Corporation (CTAS) [+]                                               |  |                                          |
| EQT Corporation (EQT) [+]                                                   |  |                                          |
| iShares MSCI India ETF (INDA) [+]                                           |  |                                          |
| Trinity Capital Inc (TRIN) [+]                                              |  | Exxon Mobil (XOM) [-]                    |
| Pfizer Inc (PFE) [+]                                                        |  | Gilead Sciences Inc (GILD) [-]           |
| Chevron Corp (CVX) [+]                                                      |  |                                          |
| VICI Properties (VICI) [+]                                                  |  |                                          |
| ONEOK Inc (OKE) [+]                                                         |  |                                          |
| Tesla (TSLA) [+]                                                            |  | Northrop (NOC)                           |
|                                                                             |  | Vanguard Total Stock Market. (VTSAX) [-] |
| <i>Bonds</i>                                                                |  |                                          |
| Petroleos Mexicanos, 5.95%, make whole, 1/28/2031, YTM 6.432%               |  |                                          |
| Highwoods Properties Inc., 16 April 2029, 4.6806% YTM                       |  |                                          |
| Atlassian Corporation, 15 May 2029, 4.4123% YTM                             |  |                                          |
| United States Treasury, 15 November 2027, 3.4671% YTM                       |  | Thinking About                           |
| United States Treasury, 31 December 2026, 3.5057% YTM                       |  | American International Group Inc. (AIG)  |
| Legend: [+]                                                                 |  |                                          |
| Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                          |

# Buy, Sell, & Thinking About for WE 01/16/2026 1/2 OLLI-GMU Investment Forum, 01/21/2026

| Buy                                              | Sell                                      |
|--------------------------------------------------|-------------------------------------------|
| IShares MSCI Brazil ETF (EWZ)                    | FactSet Research Systems (FDS) [C]        |
| IShares MSCI Chile ETF (ECH)                     | Vistance Networks Inc (VISN)              |
| Amcor PLC Ordinary Shares (AMCR) [+]             | Corning Inc (GLW) [C]                     |
| Alerian MLP ETF (AMLP) [+]                       | Exxon-Mobil (XOM) [-]                     |
| Chevron (CVX) [+]                                |                                           |
| Meta Platforms Inc (META) [+]                    |                                           |
| Merck (MRK) [+]                                  |                                           |
| ONEOK Inc (OKE) [+]                              |                                           |
| VICI Properties Inc (VICI) [+]                   |                                           |
| Verizon (VZ) [+]                                 |                                           |
| Vanguard Small Cap Blend (VB)                    | Vanguard Total Stock Market ETF (VTI) [C] |
| Vanguard Mid Cap Blend (VO)                      |                                           |
| Vanguard Materials (VAW)                         |                                           |
| Vanguard Total International (VXUS)              |                                           |
| Vanguard Industrials (VIS)                       |                                           |
| iShares Silver Trust (SLV) ETF                   |                                           |
| Schwab US Dividend Equity (SCHD)                 |                                           |
| Fidelity Asia Fund (FSEAX)                       | 1,000 bu. corn                            |
| Vanguard Intl Equity (Emerging Mkt?) Index (VWO) | 400 bu. soybeans                          |
| Akre Focus ETF (AKRE)                            |                                           |
| Adobe Inc (ADBE)                                 |                                           |
| Chubb Ltd (CB) [+]                               | Thinking About                            |
| Netflix (NFLX) [N]                               | None                                      |

Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members

| Buy, Sell, & Thinking About for WE 01/16/2026                                           | 2/2 | OLLI-GMU Investment Forum, 01/21/2026 |
|-----------------------------------------------------------------------------------------|-----|---------------------------------------|
| <i>Cash Management, Ladders and Other Income Related Moves</i>                          |     |                                       |
| <b>Buy</b>                                                                              |     | <b>Sell</b>                           |
| <b><i>Cash Management</i></b>                                                           |     |                                       |
| Goldman Sachs Income (GPIQ)                                                             |     | Goldman Sachs Income (GPIQ)           |
| Schwab Prime Advantage Money Market (SWVXX)                                             |     | 4.1% CDs [2] [Maturing]               |
| Morgan Stanley /2/23/26/ 4.65%/ 3.878% [FA]                                             |     |                                       |
| Merrick Bank /3/18/26/ 4.25%/ 3.902% [FA]                                               |     |                                       |
| Bank of America /3/20/26/ 4.15% / 3.902% [FA]                                           |     |                                       |
| Sunflower Bank /1/29/26/ 3.90%/ 4.027% [FA]                                             |     |                                       |
| <b><i>Ladders</i></b>                                                                   |     |                                       |
| 3-year U.S. Treasury Note YTM 3.6%                                                      |     |                                       |
| BC- Brunswick Corporation, 18 March 2029, 4.6786% YTM                                   |     |                                       |
| EQT- EQT Corporation, 1 April 2029, 5.2269% YTM                                         |     |                                       |
| HPQ- HP Inc., 15 April 2029, 4.3205% YTM                                                |     |                                       |
| TFC- Truist Financial Corporation- 19 March 2029 Maturity, 4.1903% YTM                  |     |                                       |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |     |                                       |

| Buy, Sell, & Thinking About for WE 01/09/2026                                           | 1/2 | OLLI-GMU Investment Forum, 01/14/2026          |
|-----------------------------------------------------------------------------------------|-----|------------------------------------------------|
| <b>Buy</b>                                                                              |     | <b>Sell</b>                                    |
| PGIM AAA CLO ETF (PAAA) [N]                                                             |     | Janus Henderson AAA CLO ETF (JAAA) [-]         |
| Sprott Junior Copper Miners ETF (COPJ) [N]                                              |     | International Tower Hill Mines Ltd (THM) [C]   |
| CVR Energy Inc (CVI) [+]                                                                |     | Evercore Inc Class A (EVR)[-]                  |
| Yelp (YELP) [+]                                                                         |     | Rocket Companies Inc Ordinary Shares (RKT) [-] |
| Google (GOOGL)                                                                          |     | Bristol Meyers Squib (BMY)                     |
| Vanguard S&P 500 (VOO) [+]                                                              |     | SPDR Gold Shares (GLD) [C]                     |
| Goldman Sachs Nasdaq-100 ETF (GPIQ) [++][2]                                             |     | Alexandria Real Estate Equities (ARE) [C]      |
| Invesco S&P 500® Momentum ETF (SPMO) [+]                                                |     |                                                |
| JP Morgan Income ETF (JPIE) [+]                                                         |     |                                                |
|                                                                                         |     |                                                |
|                                                                                         |     |                                                |
|                                                                                         |     | <b>Thinking About</b>                          |
|                                                                                         |     | IShares Latin America 40 ETF (ILF)             |
|                                                                                         |     | Vanguard Primecap (VPMCX)                      |
|                                                                                         |     |                                                |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |     |                                                |

| Buy, Sell, & Thinking About for WE 01/09/2026                                           | 2/2 | OLLI-GMU Investment Forum, 01/14/2026            |
|-----------------------------------------------------------------------------------------|-----|--------------------------------------------------|
| <b>Buy</b>                                                                              |     | <b>Sell</b>                                      |
| Vanguard International ETF (VXUS) [2] - [+] [N]                                         |     | JPMorgan Nasdaq Equity Premium Income (JEPQ) [C] |
| Vanguard Industrials (VIS) [N]                                                          |     | Berkshire Hathaway Inc Class B (BRK.B) [-]       |
| Chevron Corp (CVX) [N]                                                                  |     |                                                  |
| Merck (MRK) [N]                                                                         |     |                                                  |
| Procter & Gamble Co (PG) [N]                                                            |     |                                                  |
| Verizon (VZ) [N]                                                                        |     |                                                  |
| NVIDIA (NVDA) [+]                                                                       |     |                                                  |
| Amazon (AMZN) [+]                                                                       |     |                                                  |
| Applied Materials, Inc. (AMAT) [+]                                                      |     | Everest Group LTD (EG)[-]                        |
| Caterpillar Inc (CAT) [+]                                                               |     | Swiss Re AG (SSREY) [C]                          |
|                                                                                         |     |                                                  |
| Synchrony Financial Bond, 19 Mar 2029 Maturity, 4.6124% YTM                             |     |                                                  |
| PG&E Corporation Bond, 15 Jan 2029 Maturity, 4.5765% YTM                                |     |                                                  |
| Crown Castle Inc. Bond, 15 Feb 2029 Maturity, 4.3841% YTM                               |     |                                                  |
| Western Midstream Partners LP Bond, 15 Jan 2029 Maturity, 4.5059% YTM                   |     |                                                  |
|                                                                                         |     |                                                  |
|                                                                                         |     | <b>Thinking About</b>                            |
|                                                                                         |     | Rockwell Automation Inc                          |
|                                                                                         |     |                                                  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |     |                                                  |

|                                        |                                |                                             |
|----------------------------------------|--------------------------------|---------------------------------------------|
| <b>Buy, Sell, &amp; Thinking About</b> | <b>from 12/8 to 12/31/2025</b> | <b>OLLI- GMU Investment Forum, 1/7/2026</b> |
|----------------------------------------|--------------------------------|---------------------------------------------|

| Buy                                                                                     | Sell                                             |
|-----------------------------------------------------------------------------------------|--------------------------------------------------|
| Vanguard FTSE Europe ETF (VGK) [+]                                                      | Vanguard Total International Stock (VTI) [-]     |
| Vanguard FTSE All-World Ex-U.S. (VEU) (N)                                               | Invesco S&P Momentum Fund (SPMO) [-]             |
| Invesco S&P 500® Momentum ETF [SPMO] [+]                                                | Vanguard Total World Stock ETF (VT) [C]          |
| Ares Capital BDC [ARCC] [+]                                                             | Vanguard Growth Index ETF [VUG] [-]              |
| Blue Owl BDC [OBDC] [+]                                                                 | Vanguard High Dividend Yield Index ETF [VYM] [C] |
| Capital Southwest Corp BDC [CSWC] [+]                                                   | iShares Morningstar Value ETF [ILCV] [-]         |
| Trinity Capital Inc BDC [TRIN] [+]                                                      | iShares Silver Trust [-]                         |
| Goldman Sachs Nasdaq-100 Inc. ETF [GPIQ] [+]                                            | Vanguard Europe ETF (VGK) [-]                    |
| Schwab US Dividend Equity ETF (SCHD) [+]                                                | Vanguard US Total Stock (VTI) ETF [-]            |
| Vanguard International ETF (VXUS) [+]                                                   | QTUM ETF [C]                                     |
| Vanguard Small Cap blend ETF (VB) [+]                                                   |                                                  |
| Vanguard Financial Sector ETF (VFH) [N]                                                 |                                                  |
| CVS (CVS) [N]                                                                           | Thinking About                                   |
| Vanguard Value (VTV) [+]                                                                | Vanguard S&P 500 ETF (VOO) [+]                   |
|                                                                                         |                                                  |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |                                                  |



| Buy, Sell, & Thinking About                                                             |  | (Week Ending 12/5/25) | 1/2 | OLLI-GMU Investment Forum                                | 12/10/25 |
|-----------------------------------------------------------------------------------------|--|-----------------------|-----|----------------------------------------------------------|----------|
| <b>Buy</b>                                                                              |  |                       |     | <b>Buy</b>                                               |          |
| iShares Core MSCI Total International Stock ETF (IXUS) [+]                              |  |                       |     | VanEck Preferred Securities ex Financials ETF (PFXF) [N] |          |
| AstraZeneca (AZN) [N]                                                                   |  |                       |     | Fortinet Inc. (FTNT) [C]                                 |          |
| Blue Owl BDC (OBDC) [N]                                                                 |  |                       |     | Adobe Inc. (ADBE) [-]                                    |          |
| Blue Owl BDC (OBDC) [+]                                                                 |  |                       |     | Royal Bank of Canada (RY) [+]                            |          |
| Gaming and Leisure Properties (GLPI) [+]                                                |  |                       |     | Uber Technologies Inc. (UBER) [+]                        |          |
| VICI Properties (VICI)[+]                                                               |  |                       |     | APA Corporation, 15 Oct 2028 maturity date, 4.3505% YTM  |          |
| Ares Capital (ARCC)[+]                                                                  |  |                       |     | Unum Group, 15 Dec 2028, 4.2426% YTM                     |          |
| Morgan Stanley Emerging Markets Domestic Debt CEF (EDD) [+]                             |  |                       |     | KRC- Kilroy Realty Corporation, 15 Dec 2028, 4.4558% YTM |          |
| Amazon (AMZN) [+]                                                                       |  |                       |     |                                                          |          |
| JP Morgan Equity Premium Income ETF (JEPI)                                              |  |                       |     |                                                          |          |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                       |     |                                                          |          |

**Buy, Sell, & Thinking About** (Week Ending 12/5/25)      2/2      OLLI-GMU Investment Forum, 12/10/25

| Sell                                                                                              | Thinking about                                              |
|---------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Fidelity Advisor International Capital Appreciation Fd (FICQX)                                    | Lenovo                                                      |
| Verizon (V) [C]                                                                                   | iShares Top 20 U.S. Stocks ETF (TOPT)                       |
|                                                                                                   | Vanguard FTSE Developed Markets Index Fund ETF Shares (VEA) |
|                                                                                                   | Vanguard FTSE Developed Markets Index Fund ETF Shares (VWO) |
|                                                                                                   | Chevron Corp (CVX) [-]                                      |
|                                                                                                   | Linde PLC (LIN) [-]                                         |
|                                                                                                   | Merck & Co Inc (MRK) [-]                                    |
|                                                                                                   | Texas Instruments Inc (TXN)[C]                              |
|                                                                                                   | Procter & Gamble Co (PG)[-]                                 |
|                                                                                                   | Union Pacific Corp (UNP)[-]                                 |
| Legend: [+] Add   [-] Trim   [C] Close   [N] New   [FA] Financial Advisor   [#] number of members |                                                             |

| Buy, Sell, & Thinking About (Week Ending 11/28/25)                                                 |  | OLLI-GMU Investment Forum 12/3/25 |  |
|----------------------------------------------------------------------------------------------------|--|-----------------------------------|--|
| Buy                                                                                                |  | Sell                              |  |
| Goldman Sachs Nasdaq-100 Premium Inc ETF (GPIQ) [+]                                                |  | Amgen (AMGN) [C]                  |  |
| Meta Platforms Inc (META) [+]                                                                      |  | Devon Energy Corp (DVN) [C]       |  |
| Blue Owl BDC (OBDC) [+]                                                                            |  |                                   |  |
| IShares MSCI Poland ETF (EPOL) [+]                                                                 |  |                                   |  |
| Resmed (RMD)                                                                                       |  |                                   |  |
| BitWise 10 Crypto (BiTW)                                                                           |  |                                   |  |
| NIVIDIA (NDVA) - GTC limit order @ 175 on 11/12                                                    |  |                                   |  |
|                                                                                                    |  |                                   |  |
|                                                                                                    |  |                                   |  |
|                                                                                                    |  | Thinking about                    |  |
|                                                                                                    |  | CVS (CVS)                         |  |
|                                                                                                    |  |                                   |  |
|                                                                                                    |  |                                   |  |
| Legend:  [+] Add   [-] Trim   [C] Close   [N] New   [FA] Financial Advisor   [#] number of members |  |                                   |  |

| Buy, Sell, & Thinking About (Week Ending 11/21/25)                                      |  | OLLI-GMU Investment Forum                         | 11/26/25 |
|-----------------------------------------------------------------------------------------|--|---------------------------------------------------|----------|
|                                                                                         |  |                                                   |          |
| Buy                                                                                     |  | Sell                                              |          |
| Procter & Gamble Company (PG) [N][FA]                                                   |  | UnitedHealth Group Inc. (UNH) [C][FA]             |          |
| Blue Owl Capital Corp Ordinary Shares (OBDC)                                            |  | Fidelity Bitcoin ETF (FBTC)                       |          |
|                                                                                         |  | First Trust US Equity Opportunities ETF (FPX) [-] |          |
|                                                                                         |  | iShares Semiconductor ETF (SOXX) [-]              |          |
|                                                                                         |  | ProShares Pet Care ETF (PAWZ) [-]                 |          |
|                                                                                         |  | Amazon (AMZN) [C]                                 |          |
|                                                                                         |  |                                                   |          |
| Blackstone Private Credit Fund bond 5.05%<br>9/10/2030, BBB, make whole, @97.2          |  | IShares MSCI Germany ETF (EWG)                    |          |
|                                                                                         |  |                                                   |          |
|                                                                                         |  |                                                   |          |
|                                                                                         |  | Thinking about                                    |          |
|                                                                                         |  | Bristol Myers (BMY)                               |          |
|                                                                                         |  |                                                   |          |
|                                                                                         |  |                                                   |          |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                                   |          |

| Buy, Sell, & Thinking About (Week Ending 11/14/25)                                      |  | OLLI-GMU Investment Forum 11/19/25          |
|-----------------------------------------------------------------------------------------|--|---------------------------------------------|
| <b>Buy</b>                                                                              |  | <b>Sell</b>                                 |
| Nvidia (NVDA) [+]                                                                       |  | Chevron Corp (CVX)                          |
| Broadcom (AVGO) [+]                                                                     |  | Oracle (ORCL)                               |
| Realty Income (O) [+] [4]                                                               |  | USA Rare Earth (USAR)                       |
| Vanguard S&P 500 ETF (VOO)                                                              |  | COINBASE Global (COIN)                      |
| Micron Technology (MU) [+]                                                              |  | Banco Santander Bond, 3.244%, due 10/5/2026 |
| MP Materials (MP)                                                                       |  |                                             |
| Amphenol Corp (APH)                                                                     |  |                                             |
| Western Digital Corp (WDC)                                                              |  |                                             |
|                                                                                         |  |                                             |
|                                                                                         |  | <b>Thinking about</b>                       |
|                                                                                         |  | IShares Silver Trust (SLV) ETF              |
|                                                                                         |  | CVS Health Corp (CVS)                       |
|                                                                                         |  | JPIE, FLXR, BINC, CGMS [FA]                 |
|                                                                                         |  |                                             |
| Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members |  |                                             |

# Buy, Sell, & Thinking About (Week Ending 11/7/25) OLLI-GMU Investment Forum 11/12/25

## Buy,

Serve Robotics Inc (SERV)

Amazon (AMZN) [+]

Verizon (VZ) [+]

NVIDIA (NVDA) [+]

JP Morgan Income ETF (JPIE) [+][2]

## Sell

Merck (MRK)

IShares MCSI India ETF (INDA)

Main Street Capital [MAIN]

Hercules Capital [HTGC]

Kimberly Clark (KMB)

Fidelity Tax-Free Bond Fund (FTABX)

## Thinking about

None this week

Legend: [+] Add [-] Trim [C] Close [N] New [FA] Financial Advisor [#] number of members

| Buy, Sell, & Thinking About                                                                   |  | (Week Ending 10/31/25) | OLLI-GMU Investment Forum                        | 11/5/25 |
|-----------------------------------------------------------------------------------------------|--|------------------------|--------------------------------------------------|---------|
| <b>Buy</b>                                                                                    |  |                        | <b>Buy</b>                                       |         |
| Vaneck Vietnam ETF (VNM)                                                                      |  |                        | Kimberly Clark Corporation (KMB) [+]             |         |
| iShares MSCI South Korea ETF (EWY) [+]                                                        |  |                        | Phillips 66 (PSX) [+]                            |         |
| Meta Platforms Inc (META) [+]                                                                 |  |                        | Mondelez International Inc. (MDLX) [+]           |         |
| Waste Management, Inc. (WM)                                                                   |  |                        | Sun Life Financial Inc (SLF) [+]                 |         |
| Vanguard Value ETF (VTV) [+]                                                                  |  |                        | Synovus Financial Corp (SNV) [+]                 |         |
| VanEck Rare Earth & Strat Mtls ETF (REMX)                                                     |  |                        | Wells Fargo & Company (WFC) [+]                  |         |
| Lynas Rare Earths Ltd (LYSCF)                                                                 |  |                        | ONEOK Inc., 9/30/2028 maturity date, 4.4610% YTM |         |
| NVIDIA (NVDA) [+]                                                                             |  |                        | UST 8/31/2028 maturity date, 3.7239% YTM         |         |
| Defiance Quantum ETF (QTUM) [+]                                                               |  |                        | MAIN 8/15/2028 maturity date, 5.2897% YTM        |         |
| Vanguard Health Care ETF (VHT) [+]                                                            |  |                        | Shopify (SHOP) [+]                               |         |
|                                                                                               |  |                        | Intuitive Surgical (ISRG) [+]                    |         |
|                                                                                               |  |                        | Alphabet (GOOGL) [+]                             |         |
|                                                                                               |  |                        | Palantir (PLTR) [+]                              |         |
| <b>Sell</b>                                                                                   |  |                        | <b>Thinking about</b>                            |         |
| Elevance Health (ELV)                                                                         |  |                        | Vanguard Value ETF (VTV)                         |         |
| Merck (MRK) [2]                                                                               |  |                        | Vanguard Small Cap ETF (VB)                      |         |
| Synovus Financial Corp (SNV)                                                                  |  |                        | Perpetua Resources Corporation (PPTA)            |         |
|                                                                                               |  |                        | CMR Surgical (CMR)                               |         |
| Legend:  [+] Add  [-] Trim  [C] Close  [N] New  [FA] Financial Advisor  [#] number of members |  |                        |                                                  |         |